

FY 2010 CAPITAL ASSET PLAN (CAP) SUMMARY

Capital Asset Name:

Electronic Questionnaire for Investigations Processing (e-QIP) and Fingerprint Transaction System (FTS)

Agency:

U.S. Office of Personnel Management

Unique Project (Investment) Identifier:

027-00-01-99-01-1220-24

Type of Investment in FY 2010:

Operations and Maintenance

First Budget Year Submitted to OMB:

FY 2008

Summary Justification / Description of Investment:

The Federal Investigative Services Division (FISD) of the U.S. Office of Personnel Management (OPM) supports 90 percent of all government security clearance investigations conducted today. The Electronic Questionnaires for Investigations Processing (e-QIP) and the Fingerprint Transaction System (FTS) are the two systems that government personnel and contractors use to initiate the security clearance process. e-QIP is the primary system that OPM/FISD customers use to submit their Questionnaire for National Security Positions (SF86, SF85, and SF85P) electronically through the internet. FTS transmits fingerprint images to be stored in the OPM/FISD database and submits them electronically to the Federal Bureau of Investigations (FBI). These systems feed background and fingerprint data into the clearance system databases in order to begin validating the individual's ability to receive a federal security clearance. e-QIP and FTS are used in both civilian and defense agencies to assist in issuing Federal clearances. No other system provides the federal government's employees and contractors the ability to electronically initiate the clearance process to enable them to enter Federal facilities and work on sensitive material. These IT investments are critical to OPM/FISD's ability to execute its mission and for OPM to meet the performance targets outlined by the Intelligence Reform and Terrorism Prevention Act (ITPRA) and the 2006-2010 OPM Strategic and Operational Plan. The e-QIP and FTS systems are currently operated as fully operational OPM/FISD systems. This Capital Asset Plan and Business Case Summary is one of two submissions that account for OPM/FISD's major IT investments. This submission covers the e-QIP and FTS systems; the second submission covers the OPM Personnel Investigations Processing System (PIPS), the Clearance Verification System (CVS), and the OPM PIPS Imaging System (OPIS). All of OPM/FISD's major IT investments are included in these two Capital Asset Plans.

President's Management Agenda (PMA) Initiatives Supported by this Investment:

Human Capital
Budget Performance Integration
Expanded E-Government

Describe how this investment supports the identified PMA initiatives:

This investment supports the above three initiatives by: 1) streamlining the business processes and reporting for a more efficient investigative process; 2) integrating fully with OPM's strategic plan to strengthen the Federal workforce and the stability of sensitive information for the Federal government; and 3) using the e-Clearance initiative to automate many aspects of the investigative process.

Specify whether this investment is for information technology:

This is an IT investment.

Indicate whether this investment, or any project within this investment, is identified as “high risk” on the agency high risk report:

This investment is included on OMB’s high-risk report.

Complete the Summary of Spending table below:

Provide funding information for this investment, as listed in the BY 2010 CAP Summary of Spending table.

| SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) | | | |
|--|----------------|----------------|----------------|
| | PY 2008 | CY 2009 | BY 2010 |
| Planning | 0 | 0 | 0 |
| Acquisition | 0 | 0 | 0 |
| Subtotal Planning & Acquisition | 0 | 0 | 0 |
| Operations & Maintenance | 6.978 | 7.338 | 8.852 |
| TOTAL | 6.978 | 7.338 | 8.852 |
| Government FTE Costs | 2.375 | 2.494 | 2.619 |
| Number of FTE represented by cost | 27 | 27 | 27 |

Complete the Acquisition/Contract information below for this investment:

Provide acquisition/contract information for all contracts and/or task orders in place for this investment. Please note that planned contracts (or contracts that have not yet been awarded) should not be included in the list below.

Contract Number 1: IA04060000197 (e-QIP)

Contract Type Used: Time and Materials

Contract Total Value: \$3.3M

Contract Number 2: IA04060000197 (FTS)

Contract Type Used: Time and Materials

Contract Total Value: \$1.8M