



UNITED STATES OFFICE OF PERSONNEL MANAGEMENT

Washington, DC 20415

MAR 12 2012

The Director

Mr. David Smith  
President and CEO  
United Way of Ventura County  
1317 Del Norte Road, Suite 100  
Camarillo, CA 93010

Dear Mr. Smith:

Pursuant to my authority set out at 5 CFR 950.603(a)(1), this is formal notification of my intent to debar your organization from serving as the Principal Combined Fund Organization (PCFO) for any local Combined Federal Campaign (CFC) across the country and overseas for the 2013 campaign period. This means that your organization will not be permitted to serve as the administrator or fiscal agent for the Gold Coast 2013 campaign or any other campaigns.

As you are aware, the Office of Personnel Management's Office of the Inspector General (OIG) conducted an audit of the 2008 through 2010 campaigns administered by your organization as the PCFO. The OIG conducted the audit at the request of OPM's Office of CFC based upon concerns with the operation of the Gold Coast CFC. In its final report issued on February 14, 2012, the OIG recommended sanctions against The United Way of Ventura County based on "...difficulties encountered with the PCFO during the performance of this audit and the lack of cooperation received following the issuance of the draft audit report...."

As you know, OPM regulations require PCFOs to certify they will abide by the directions, decisions, and supervision of the Local Federal Coordinating Committee (LFCC) and/or Director. See 5 CFR 950.105(c)(2)(iii). Furthermore, I also have authority to impose sanctions or penalties on a federation, charitable organization or PCFO for violating regulations, other applicable provisions of law, or any directive or instruction. Finally, I may determine the appropriate sanction and/or penalty, up to and including expulsion from the CFC. See 5 CFR 950.603(a)(1).

Based on the difficulties and lack of cooperation experienced by the OIG in the conduct of the audit, I have determined that you have violated your certification and OPM regulations. However, your organization is still obligated to distribute CFC funds received from the 2010 campaign in accordance with CFC regulations. You also must provide any documentation requested by OPM's Office of CFC Operations to assist in closing the finding reported in the OIG report.

You have 10 business days from the date of receipt of this notice to submit a written response to my proposed actions. I will consider your response in making a final

determination. If no response is received, I will take action based upon the information currently available. My final decision will be communicated in writing to you, the LFCC and the United Way of America.

Please send your response to:

Mr. Keith Willingham  
Director, Office of CFC  
1900 E Street, NW, Room 6484  
Washington, DC 20415

Sincerely,



John Berry  
Director

cc: Keith Willingham, Director, OCFC  
LFCC  
United Way of America